# Hypersurfaces with defect

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All these theories give the same Betti numbers.

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## Remark (Lefschetz principle + base change)

This generalizes to varieties over arbitrary characteristic 0 fields.

## Example (Betti numbers of affine space)

$$h^i(\mathbb{A}^n) = \begin{cases} 1 & \text{if } i = 0, \\ 0 & \text{otherwise.} \end{cases}$$

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- $h^i(X) = 0$  for  $i > \dim X$  if X is affine.

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• 
$$h^{n-1}(X) = \sum_{k=1}^{n-1} \left( \dim_K \frac{K[x_0, \dots, x_n]}{\left\langle \frac{\partial f}{\partial x_0}, \dots, \frac{\partial f}{\partial x_n} \right\rangle} \right)_{kd-n-1} + n \mod 2.$$

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Proof ingredients
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• Lefschetz hyperplane theorem: Natural isomorphisms

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- Algebraic de Rham cohomology of  $\mathbb{P}^n \setminus X$ = cohomology of  $\Omega^{\bullet}_{K[x_0,...,x_n]_{(f)}}$ .
- Explicit computations (reduction of pole order)

Let  $X = \{f = 0\}$  be a **singular** hypersurface in  $\mathbb{P}^n$  with singular locus  $\Sigma$ . The Lefschetz hyperplane theorem holds, but Poincaré duality might fail.

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## Theorem (Dimca/Greuel/Saito, Kato/Matsumoto)

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#### Definition

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 threefold hypersurfaces with at most rational singularities containing a non-Q-Cartier divisor, because

$$\operatorname{rk} \operatorname{CH}^1(X) \leq h^4(X).$$

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#### Theorem (-)

Suppose  $X \subseteq \mathbb{P}^n$ ,  $n \geq 3$ , has at most isolated singularities. Then

$$X \text{ has defect } \Rightarrow au \geq rac{d-n+1}{n^2+n+1},$$

where  $\tau$  denotes the global Tjurina number of f.

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ullet Choosing a hyperplane avoiding  $\Sigma$ , identify

$$H^n_{\Sigma}(X) \cong H^n(\mathbb{A}^n \setminus (X \cap \mathbb{A}^n))/V$$
,

where V is the inverse image of  $H^{n-1}(X \cap \mathbb{A}^n)$  under the Poincaré residue map.

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Proof ingredients II
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• De Rham cohomology of  $\mathbb{P}^n \setminus X$  and  $\mathbb{A}^n \setminus (X \cap A^n)$  is very explicit: Get a commutative diagram

$$\begin{array}{ccc} \mathcal{K}[x_0,\dots,x_n]_{kd-n-1} & \xrightarrow{\text{restriction}} & \mathcal{K}[x_1,\dots,x_n] \\ \\ g\mapsto g\Omega/F^k \downarrow \text{surj.} & \text{surj.} \downarrow h\mapsto h\omega/f^k \\ \\ \text{Gr}_P^k H^n(\mathbb{P}^n\setminus X) & \xrightarrow{\text{restriction}} & \text{Gr}_P^k H^n_\Sigma(X) \end{array}$$

using the pole-order filtration P by forms of pole order k.

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• Griffiths' reduction of the pole order (*J* is the Jacobian ideal):

$$h \in J \quad \Rightarrow \quad h\omega/f^k = 0 \quad \text{in } \operatorname{Gr}_P^k H_{\Sigma}^n(X), k \neq 1.$$

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• Using the space V:

$$h \in J^3 \implies h\omega/f^k = 0 \text{ in } Gr_P^1 H_{\Sigma}^n(X).$$

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Commutative diagram

$$\begin{array}{ccc} \mathcal{K}[x_0,\ldots,x_n]_{kd-n-1} & \xrightarrow{\text{restriction}} & \mathcal{K}[x_1,\ldots,x_n]/(\langle f \rangle + J^3) \\ g \mapsto g\Omega/F^k \downarrow \text{surj.} & \text{surj.} \downarrow h \mapsto h\omega/f^k \\ & \text{Gr}_P^k H^n(\mathbb{P}^n \setminus X) & \xrightarrow{\text{restriction}} & \text{Gr}_P^k H^n_\Sigma(X) \end{array}$$

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- If X has defect, then the bottom map is not surjective for some  $k \ge 1$ . So the top map is not surjective for some  $k \ge 1$ .
- Commutative algebra:

$$\dim_{K} K[x_{1}, \dots, x_{n}]/(\langle f \rangle + J^{3}) \geq d - n + 1$$

$$\Rightarrow \tau = \dim_{K} K[x_{1}, \dots, x_{n}]/(\langle f \rangle + J) \geq \frac{d - n + 1}{n^{2} + n + 1}.$$

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## Theorem (Deligne, Katz/Messing, Kedlaya)

The two theories give the same Betti numbers for smooth projective varieties over finite fields.

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### Theorem (Proper-smooth base change)

If X has a smooth proper lift  $\mathcal{X}$  with generic fiber  $\mathcal{X}_{\eta}$ , then  $H^i_{\mathrm{\acute{e}t}}(X,\mathbb{Q}_{\ell})\cong H^i_{\mathrm{\acute{e}t}}(\mathcal{X}_{\eta},\mathbb{Q}_{\ell})$  for all i and  $\ell\neq p$ .

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## Theorem (Baldassarri/Chiarellotto)

Let  $\mathcal{X}$  be a smooth proper scheme over a dvr and let  $\mathcal{D}$  be a relative snc divisor. Then  $H^i_{rig}(\mathcal{X}_s \setminus \mathcal{D}_s) \cong H^i_{dR}(\mathcal{X}_\eta \setminus \mathcal{D}_\eta)$  for all i.

# Defect in positive characteristic

Let  $X \subseteq \mathbb{P}^n$  be a hypersurface.

## Corollary

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#### Remark

• If X has at most isolated singularities, then X has defect in étale cohomology  $\Leftrightarrow h^n(X) \neq h^n(\mathbb{P}^n)$ .

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- If X has at most isolated singularities, then X has defect in étale cohomology  $\Leftrightarrow h^n(X) \neq h^n(\mathbb{P}^n)$ .
- If X has at most isolated weighted homogeneous singularities, X has defect in rigid cohomology  $\Leftrightarrow h^n(X) \neq h^n(\mathbb{P}^n)$ .

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#### Question

Can one always lift defect?

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#### Example

Let  $S \subseteq \mathbb{P}^4$  be a non-liftable surface defined over  $\mathbb{F}_q$  (Vakil). Let X be a hypersurface containing S. Then S cannot be a  $\mathbb{Q}$ -Cartier divisor on X.  $\Rightarrow X$  has defect.

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# Corollary (-)

$$\lim_{d\to\infty}\frac{\#\{f\in\mathbb{F}_q[x_0,\dots,x_n]_d\mid\{f=0\}\ \textit{no defect}\}}{\#\mathbb{F}_q[x_0,\dots,x_n]_d}\geq\frac{1}{\zeta_{\mathbb{P}^n}(n+3)}.$$