

Introduction to

# Linear and Combinatorial Optimization

# 9

## Efficient Algorithms

### 9.1 Basic Definitions

What is an efficient algorithm?

- **efficient**: running time bounded by a polynomial of the input size
- **algorithm**: Turing Machine or other formal model of computation

## Simplified Definition

An algorithm consists of

- “**elementary steps**” like, e.g., variable assignments
- **simple arithmetic operations**

which only take a constant amount of time. The running time of the algorithm on a given input is the number of such steps and operations.

Two ways of measuring the running time and the size of the input  $I$  of A:

## Bit Model

- count bit operations
  - e.g., adding two  $n$ -bit numbers takes  $n + 1$  steps
  - e.g., multiplying two  $n$ -bit numbers takes  $O(n^2)$  steps
- size of input  $I$  is the total number of bits needed to encode “structure” and numbers

## Arithmetic Model

- simple arithmetic operations on arbitrary numbers can be performed in constant time
  - e.g., adding two numbers takes 1 step
  - e.g., multiplying two numbers takes 1 step
- size of input  $I$  is total number of bits needed to encode “structure” plus # numbers in the input

# Polynomial vs. Strongly Polynomial Running Time — 9 | 4

## Definition 9.1

- i An algorithm **runs in polynomial time** if, in the bit model, its (worst-case) running time is polynomially bounded in the input size.
- ii An algorithm **runs in strongly polynomial time** if, in the bit model as well as in the arithmetic model, its (worst-case) running time is polynomially bounded in the input size.

### Examples:

- Prim's and Kruskal's Algorithm as well as the Ford-Bellman Algorithm and Dijkstra's Algorithm run in strongly polynomial time
- Euclidean Algorithm runs in polynomial time but not in strongly polynomial time:
  - $\text{gcd}(a, b) = \begin{cases} a & \text{if } b = 0 \\ \text{gcd}(b, a \bmod b) & \text{otherwise} \end{cases}$  for  $a \geq b$
  - after two iterations  $\text{gcd}(a, b) = \underbrace{\text{gcd}(a \bmod b)}_{< a/2}, \underbrace{\text{gcd}(b \bmod (a \bmod b))}_{< b/2}$
  - $O(\log a)$  iterations suffice

Consider the following algorithm:

**Given:**  $n$  numbers  $a_1, \dots, a_n \in \mathbb{Z}_{>0}$

**1** for  $i = 1$  to  $n$ :

**2**      $a_1 := a_1 \cdot a_i$

**3** output  $a_1$

### Arithmetic Model:

- Input size is  $n$ ; running time is  $O(n)$  (polynomial, even linear).

### Bit Model:

- Input size is  $\sum_{i=1}^n (\lceil \log a_i \rceil + 1)$
- Encoding size of the computed output  $a_1^{2^n}$  is  $\lfloor 2^n \log a_1 \rfloor + 1$ .
- Output size can thus be exponential in the input size (e.g., if  $a_1 \geq a_i$  for all  $i = 1, \dots, n$ ).
- Notice that the output size is a lower bound on the running time.

- In the bit model, we assume that numbers are **binary encoded**, i.e., the encoding of the number  $n \in \mathbb{N}$  needs  $\lfloor \log n \rfloor + 1$  bits.
- Thus, the running time bound  $O(C n^2)$  of Ford's Algorithm where  $C := 2 \max_{a \in A} |c_a| + 1$  is not polynomial in the input size.
- If we assume, however, that numbers are **unary encoded**, then  $C n^2$  is polynomially bounded in the input size.

**Definition 9.2** An algorithm **runs in pseudopolynomial time** if, in the bit model with unary encoding of numbers, its (worst-case) running time is polynomially bounded in the input size.

### Example:

Checking whether a given number  $a \in \mathbb{Z}_{\geq 2}$  is prime by testing for all  $1 < b < a$  whether  $b$  divides  $a$  is a pseudopolynomial time algorithm.